### **Retrospective Study**

Role of measurable residual disease quantified by 4 to 6 color flow cytometry before allogeneic hematopoietic stem cell transplantation for high-risk Philadelphia-negative acute lymphoblastic leukemia

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### Abstract

**Background:** Measurable residual disease (MRD) status before allogeneic hematopoietic stem cell transplantation (AHSCT) is commonly associated with a high risk of relapse. It is still uncertain whether AHSCT could overcome the negative impact of MRD positivity (MRD+), especially in patients with high-risk Philadelphia negative acute lymphoblastic leukemia (Ph-negative ALL).

**Materials and methods:** An observational retrospective study was conducted on patients with high-risk Ph-negative ALL who underwent AHSCT between January 2005 and June 2022. The patients selected were in complete remission (CR): with 80% in CR1 (n = 69) and 20% in CR2 (n = 17). Graft sources were bone marrow (BM) in 71% of patients and peripheral blood stem cells in 29% of patients. The conditioning regimen was TBI or chemotherapy-based (CT). Bone marrow MRD level was quantified using 4-6 color multiparametric flow cytometry (MFC). The threshold for MRD positivity was  $\geq 0.1\%$ .

Results: The study included 86 patients (45 B-ALL and 41 T-ALL) with a median age of 18 years (range, 4-55 years). The median level of MRD pre-AHSCT (pre-MRD) was 0.4×10-3 (range, 0.01-75.6×10<sup>-3</sup>). After a median follow-up of 25 months (range 1-205 months), the cumulative incidence of relapse (CIR) was significantly higher in the MRD+ group (39% vs. 20%, p = 0.04). The median time of relapse post-AHSCT was 14 months (range, 1-203 months) in the MRD+ group and 32 months (range, 4-209 months) in the MRD- group (p = 0.28). Non-relapse mortality (NRM) was 15% in both groups (p = 0.97). The 2-year estimated overall survival (OS) and event-free survival (EFS) were 61% vs. 74% (p = 0.07) and 58% vs. 70% (p = 0.10) in the MRD+ and MRD- groups, respectively. A subgroup analysis in MRD+ patients showed that a TBI-based conditioning regimen was distinctly associated with lower CIR (22% vs. 60% respectively, p = 0.04), improved OS (82% vs. 36% respectively, p = 0.007) and better EFS (73% vs. 38%, p = 0.04) compared to CT-based. In a multivariate analysis, pre-AHSCT MRD+ status and non-TBI-based conditioning were significantly associated with inferior OS (OR, 2.30; 95% CI, [1.027-5.168], p = 0.04 and OR, 3.91; 95% CI, [1.624-9.418], p = 0.002, respectively). The only predicting factor of lower EFS was the non-TBI-based regimen (OR, 2.82; 95% CI, [1.308-6.097], p = 0.008). Non-TBI-based and CR2 were significantly associated with higher CIR (OR, 6.25; 95% CI, [1.947-20.055], p = 0.002 and OR, 4.74; 95% CI, [1.197-18.791], p = 0.03, respectively). Peripheral stem cell source was significantly associated with higher NRM (OR, 6.55; 95% CI, [1.488-28.820], p = 0.01).

**Conclusion:** High-risk Ph-negative ALL patients with MRD  $\ge 10^{-3}$  prior AHSCT had lower OS compared to MRD- patients and may benefit from TBI as a conditioning regimen before AHSCT.

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Keywords: Acute lymphoblastic leukemia; Measurable residual disease; Multicolor flow cytometry; Allogeneic hematopoietic stem cell transplantation; Myeloablative conditioning regimen

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## Introduction

Outcomes of acute lymphoblastic leukemia (ALL) have improved with the development of pediatric-based protocols and the introduction of immunotherapy [1]. Despite this progress, relapse remains the major cause of treatment failure and death after AHSCT [2]. The measurable residual disease (MRD) status has been validated as an important factor in AHSCT, as several studies have shown significantly inferior outcomes for ALL patients with positive MRD. As a result, MRD has been recently proposed as a tool to guide pre-transplant strategies and post-transplant immunotherapy in order to prevent relapse [3]. The aim of our study is to evaluate the role of MRD quantified by 4-6 color Multiparametric Flow Cytometry (MFC) before AHSCT in patients with high-risk Philadelphia-negative (Ph-negative) ALL.

## Patients and methods

### Patients

A retrospective study of 86 consecutive patients with high-risk Ph-negative ALL in first or second CR (CR1, CR2) diagnosed using the EGIL classification, treated according to EORTC-58951 and GRAALL protocol who underwent AHSCT from HLA-identical sibling-donor, between January 2005 and June 2022. The inclusion criteria were: morphological bone marrow (BM) and cytogenetic CR at the time of AHSCT, defined as less than 5% blasts by morphological examination, normal karyotype, and the availability of BM aspirates for MRD assessment prior to AHSCT. Patients without assessment of MRD before AHSCT were excluded. High-risk ALL included high white blood count at diagnosis (> 30.000/mm<sup>3</sup> for B-ALL and > 100.000/mm<sup>3</sup> for T-ALL), cytogenetic abnormalities [t(4,11), monosomal karyotype, t(1,19)], induction failure and MRD+ status prior to AHSCT.

#### MRD assessment by multiparametric flow cytometry

The MRD assessment was performed on fresh BM aspirate samples. Standard MFC assays were used to detect residual leukemic cells by relying on the assessment of aberrant leukemia-associated immunophenotypes (LAIPs), which constitute either an aberrant expression of myeloid antigens, an increased, decreased density or lack of antigens normally expressed on benign lymphoid cell precursors.

All LAIPs detected on leukemic blasts at the time of diagnosis are assessed and constitute MRD when still detectable in the remission sample. Panels of several antibody combinations according to LAIPs were used for MRD detection recognizing markers of progenitors (CD45 dim, CD34, HLADR, CD38), lymphoid T (cCD3, sCD3, CD2, CD5, CD7, CD4, CD8, CD1a), lymphoid B (CD10, CD19, CD20, CD22), myeloid (CD13, CD33, CD117) and other markers (CD56).

0.5-1 million events per tube were acquired on a Becton

Dickinson (BD) FACS Calibur four-color (2005- 2009) and BD FACS Cant II six-color (2009-2022). The significant level of MRD was set up by a logarithmic scale.

Patients were categorized into two groups according to MRD analysis: MRD-positive patients (MRD+) with MRD level  $\geq 10^{-3}$  and MRD-negative patients (MRD-) with MRD level <  $10^{-3}$ .

### **Conditioning regimen**

All patients received a myeloablative conditioning regimen. Total body irradiation (TBI) was delivered at the dose of 3.3 grays during 3 consecutive days associated with Etoposide 60 mg/kg×1 day or Cyclophosphamide 60 mg/kg×2 days. Non-TBI, myeloablative regimen included TBF regimen with Thiotepa 5 mg/kg×2 days, Bisulvex 3.2 mg/kg×3 days and Fludarabine 50 mg/m2×3 days or Bisulvex-Cyclophosphamide regimen.

### Stem cell source

Hematopoietic stem cell transplantation is performed using bone marrow or peripheral blood stem cells from genoidentical sibling donors.

### Graft-versus-host disease prophylaxis

Graft-versus-host disease (GVHD) prophylaxis consisted of a combination of methotrexate at the dose of 10 mg/m<sup>2</sup> on days 1, 3 and 6 and cyclosporine 1.5 mg/kg/12h since day-1 in patients > 12 years and cyclosporine alone for patients < 12 years.

#### **Statistical analysis**

Patient characteristics were compared according to MRD status. For qualitative variables, the  $\chi^2$  or Fisher's exact test was used, and to compare continuous variables student test was used. Overall survival (OS) was calculated as the time from AHSCT to death or last contact for those alive. Eventfree survival (EFS) was defined as the time from AHSCT to the first event (relapse, death) or the date of last contact for those who were event-free and were performed by the Kaplan-Meier method and compared by the log-rank test. Cumulative incidences of relapse (CIR), non-relapse mortality (NRM), and acute and chronic GVHD were calculated by using competing risks, and comparisons were made using the Gray test. The competing risk for relapse is NRM and the competing risk for NRM is relapse. Acute GVHD and chronic GVHD were defined according to Glucksberg, et al. and Shulman, et al. respectively [4,5]. Variables with *p* < 0.2 were included in a Cox proportional hazards model with time-dependent variables. *p* - values < 0.05 were considered statistically significant for all comparisons.

### Results

#### **Patients' characteristics**

Eighty-six patients with high-risk Ph-negative ALL were

included in this study. Table 1 summarizes the characteristics of these patients. The characteristics of our population were fairly homogeneous. However, a longer median follow-up duration was observed in the MRD- group. There was a higher proportion of patients with high cytogenetic risk in the MRD+ group, and more PBSC transplants in the MRD- group.

**Impact of MRD prior to transplantation on survival, cumulative incidences of relapse and NRM:** After a median follow-up of 25 months (range, 1-205 months), the CIR, CI of

N The median age in years	(< 10 <sup>-3</sup> )	(> 10-3)	р
	1	(≥ 10 <sup>-3</sup> )	
The median age in years	44 (51%)	42 (49%)	
(range)	17 (5-48)	18 (4-55)	0.84
Age			
< 18 years	22 (50%)	20 (48%)	
≥ 18 years	22 (50%)	22 (52%)	0.82
Patient sex			
Male	26 (59%)	32 (76%)	
Female	18 (41%)	10 (24%)	0.09
ALL subtype			
B-ALL	23 (52%)	22 (52%)	0.00
T-ALL	21 (48%)	20 (48%)	0.99
WBC at diagnosis			
B-ALL, ≥30.000/mm <sup>3</sup>	10 (23%)	6 (14%)	
T-ALL, ≥100.000/mm <sup>3</sup>	6 (14%)	7 (17%)	
Cytogenetic risk			
Standard-risk	29 (66%)	24 (57%)	0.45
High-risk	6 (14%)	11 (26%)	0.40
unknown	10 (23%)	8 (19%)	
Post-induction evaluation			
(65 evaluable)			
MRD < 0.1%	20 (45%)	15 (36%)	
MRD ≥ 0.1%	16 (36%)	14 (33%)	0.70
Failure	11 (25%)	13 (31%)	0.76
Remission status at AHSCT			
CR1	33 (75%)	36 (86%)	
CR2	11 (25%)	6 (14%)	0.21
ledian time diagnosis-AHSCT	11 (2070)	0(11)0)	0.21
in months (range)	8.5 (4-135)	7 (4-63)	0.65
Sex mismatch (Donor/	0.0 (1 100)	7 (1 00)	0.00
Recipient)			
Female to male	12 (27%)	18 (43%)	
Others	32 (73%)	24 (57%)	0.13
Conditioning regimen	. ,	. ,	
TBI-based	24 (55%)	23 (55%)	0.00
CT-based	20 (45%)	19 (45%)	0.98
Stem cell source			
BM	28 (64%)	33 (79%)	0.13
PBSC	16 (36%)	9 (21%)	0.13
The median number of stem			
cells infused			
Median CMN *10 <sup>8</sup> /kg (range)			
Median CD34 *10 <sup>6</sup> /kg (range)	2.2 (0.88-5.8)	2.4 (1.15-4.9)	
	4.8 (3.63-6.82)	4.27 (1.07-6.36)	
GVHD prophylaxis	00 (000)		<i></i>
Cyclosporine+MTX	29 (66%)	31 (74%)	0.49
Cyclosporine	15 (34%)	11 (26%)	
Median follow-up in months	32 (5-205)	15 (1-203)	0.13

ALL: Acute Lymphoblastic Leukemia; AHSCT: Allogeneic Hematopoietic Stem Cell Transplantation; WBC: White Blood Cells; CR: COMPLETE REMISSION; CR1: First Complete Remission; CR2: Second Complete Remission; CT: Chemotherapy; TBI: Total Body Irradiation; BM: Bone Marrow; PBSC: Peripheral Blood Stem Cells; MRD: Minimal Residual Disease; CMN: Mononuclear Cells; GVHD: Graft-Versus-Host Disease. NRM, the 2-year OS and EFS were 30%, 15%, 68% and 64%, respectively in the entire cohort.

We didn't find a significant impact of MRD at the threshold of  $10^{-4}$  (data not shown), the results of our study were compared to the threshold of  $10^{-3}$ .

**Relapse:** In univariate analysis, the CIR was significantly higher in patients with MRD+ compared to MRD- patients (39% vs. 20% respectively, p = 0.04) (Figure 1A). The median time to relapse was 14 months (range, 1-203 months) in the MRD+ group and 32 months (range, 4-209 months) in MRD– group (p = 0.28). In a multivariate analysis, non-TBI-based regimen and CR2 were significantly associated with higher CIR (OR, 6.25; 95% CI, [1.947-20.055], p = 002 and OR, 4.74; 95% CI, [1.197-18.791], p = 0.03, respectively). A trend towards a significantly higher CIR was observed with MRD+ status (OR, 2.92; 95% CI, [0.937-9.079], p = 0.06). PBSC was associated with a lower CIR with a trend towards significance (OR, 0.26; 95% CI, [0.064-1.035], p = 0.05).

**NRM:** The CI incidence of NRM was similar in both groups (15% vs. 15%, p = 0.97) (Figure 1B). In a multivariate analysis, the PBSC source was significantly associated with higher NRM (OR, 6.55; 95% CI, [1.488-28.820], p = 0.01).

**OS and EFS:** At last contact, and after a median follow-up of 25 months (range, 1-205 months) and 47 months (range, 4-205) for surviving patients, 56 patients are alive (65%) in the entire cohort. There was a trend towards better OS in MRD- compared to MRD+ groups (74% *vs.* 61% respectively, p = 0.07), and no significant difference in EFS between MRD- and MRD+ groups (70% *vs.* 58% respectively, p = 0.10) (Figure 1C,D). In a multivariate analysis, pre-AHSCT MRD+ status and non-TBI-based conditioning were significantly associated with lower OS (OR, 2.30; 95% CI, [1.027-5.168], p = 0.04 and OR, 3.91; 95% CI, [1.624-9.418], p = 0.002, respectively). The only predicting factor of lower EFS was the non-TBI-based regimen (OR, 2.82; 95% CI, [1.308-6.097], p = 0.008).

### Subgroup analysis

To better describe the impact of the conditioning regimen on OS, EFS, NRM, and CIR, we compared in a subgroup analysis the MRD+ and MRD- patients who received TBI and CT-based regimens. We found that patients with MRD+ status who received TBI-based had significantly lower CIR (22% vs. 60%, p = 0.04) (Figure 2A), better OS (82% vs. 36%, p =0.007) (Figure 2B) and better EFS (73% vs. 38%, p = 0.04) (Figure 2C). However, in MRD- patients conditioning regimen did not significantly impact OS (86% vs. 60%, p = 0.09) and EFS (81% vs. 58%, p = 0.08). A trend towards lower CIR was observed in the TBI-based regimen (10% vs. 32%, p = 0.06) Tables 2,3.

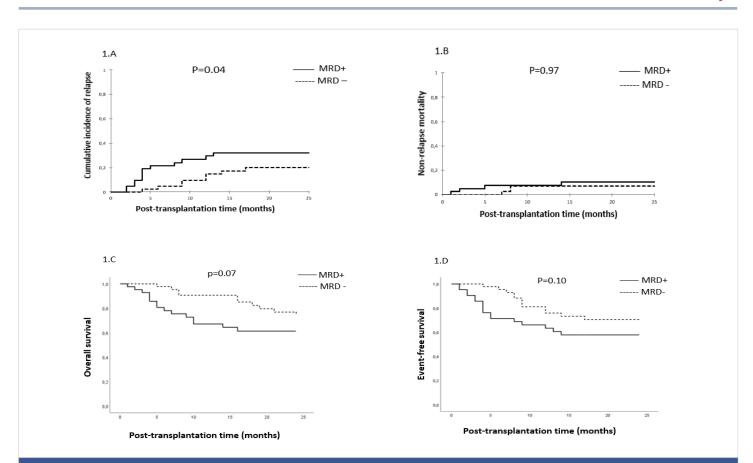


Figure 1: MRD status and clinical outcomes. Cumulative incidence of relapse (1.A), the cumulative incidence of non-relapse mortality (1.B), overall survival (1.C) and event-free survival (1.D).

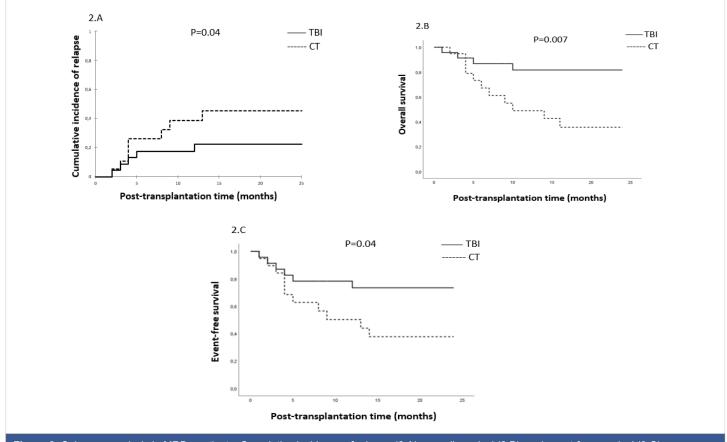


Figure 2: Subgroup analysis in MRD+ patients: Cumulative incidence of relapse (2.A), overall survival (2.B), and event-free survival (2.C).

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Variables	2-year OS		2-year EFS		2-year CIR		2-year CI of NRM	
	OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	р
Gender Male Female	65% 73%	0.46	61% 70%	0.40	30% 29%	0.93	18% 16%	0.3
Age < 18years ≥ 18years	72% 63%	0.47	68% 61%	0.52	35% 24%	0.21	0% 32%	0.00
ALL subtype B-ALL T-ALL	64% 73%	0.50	60% 70%	0.59	38% 19%	0.08	17% 16%	0.3
Karyotype Others/not available High risk	65% 84%	0.28	61% 85%	0.18	31% 19%	0.34	15% 29%	0.60
ledian time diagnosis-AHSCT (months) < 6months ≥ 6months	73% 64%	0.71	69% 62%	0.72	16% 36%	0.08	24% 16%	0.1
Sex mismatch Others Female to male	69% 66%	0.72	66% 61%	0.46	29% 30%	0.94	19% 14%	0.72
Remission status at AHSCT CR1 ≥ CR2	69% 64%	0.78	68% 53%	0.36	26% 41%	0.24	15% 25%	0.8
MRD status prior to AHSCT < 0.1% ≥ 0.1%	74% 61%	0.07	70% 58%	0.10	20% 39%	0.04	15% 15%	0.9
Conditioning regimen TBI-based CT-based	84% 48%	0.002	77% 48%	0.007	16% 46%	0.006	11% 22%	0.3
Stem cells source BM PBSC	67% 69%	0.85	65% 63%	0.88	32% 22%	0.27	5% 44%	0.00
Acute GVHD grade II-IV No Yes	73% 60%	0.18	68% 58%	0.30	35% 21%	0.25	13% 21%	0.04
Chronic GVHD No Yes	63% 76%	0.18	59% 73%	0.14	36% 18%	0.05	8% 29%	0.1

Variables	2-year OS	р	2-year EFS	2-yea	r CIR	2-year CI of NRM			
	OR (95% CI)		OR (95% CI)	р	OR (95% CI)	p	OR (95% CI)	р	
Gender Male Female	1 0.87(0.340-2.243)	0.78	1 0.82(0.340-1.980)	0.66	1 1.07(0.300-3.790)	0.92	-		
Age < 18years ≥ 18years	1 1.66(0.685-4.007)	0.26	1 1.58(0.694-3.585)	0.28	1 0.84(0.223-3.151)	0.79	-		
Remission status at AHSCT CR1 ≥ CR2	1 1.79(0.609-5.253)	0.29	1 1.81(0.767-4.296)	0.17	1 4.74(1.197-18.791)	0.03	1 0.57(0.091-3.538)	0.54	
/RD status prior to AHSCT < 0.1% ≥ 0.1%	1 2.30(1.027-5.168)	0.04	1 1.92(0.917-4.042)	0.08	1 2.92(0.937-9.079)	0.06	1 1.47(0.331-6.501)	0.6	
Conditioning regimen TBI-based CT-based	1 3.91(1.624-9.418)	0.002	1 2.82(1.308-6.097)	0.008	1 6.25(1.947-20.055)	0.002	1 0.80(0.152-4.198)	0.79	
Stem cells source BM PBSC	1 0.69(0.238-2.020)	0.50	1 0.69(0.229-2.067)	0.50	1 0.26(0.064-1.035)	0.05	1 6.55(1.488-28.820)	0.0	
Acute GVHD grade II-IV No Yes	1 1.65(0.732-3.700)	0.23	1 1.32(0.622-2.796)	0.47	1 0.56(0.170-1.828)	0.33	1 3.46(0.776-15.474)	0.10	
Chronic GVHD No Yes	1 0.49(0.206-1.197)	0.12	1 0.52(0.231-1.189)	0.12	1 0.45(0.121-1.676)	0.23	1 1.39(0.306-6.369)	0.6	

CR: Complete Remission; CR1: First Complete Remission; CR2: Second Complete Remission; MRD: Minimal Residual Disease; CT: Chemotherapy; TBI: Total Body Irradiation; BM: Bone Marrow; PBSC: Peripheral Blood Stem Cells; GVHD: Graft-Versus-Host Disease.

# Discussion

AHSCT remains the standard consolidation treatment of high-risk ALL patients [6]. We retrospectively evaluated the role of MRD status assessed by 4-6 color MFC before AHSCT for high-risk Ph-negative ALL patients. Our data found that pre-AHSCT MRD- status and TBI-based conditioning were significantly associated with better OS. The TBI-based regimen was the only predictive factor of a better EFS. TBIbased conditioning and CR1 were significantly associated with lower CIR. Previous studies showed that high BM MRD level  $(10^{-2} - 10^{-3})$  before AHSCT was associated with an increased risk of relapse (60% - 100%), whereas deep negative (10<sup>-5</sup>) and undetectable MRD were associated with 25% - 30% probability of relapse [7-11].

The negative impact of MRD+ prior to AHSCT on clinical outcomes was demonstrated in several studies by either MFC, real-time quantitative-Polymerase Chain Reaction (RQ-PCR), and next-generation sequencing (NGS) techniques with different cutoff values ( $10^{-3}$ ,  $10^{-4}$ ,  $10^{-5}$  and  $10^{-6}$ ) [12-16]. The widely accepted threshold pre-AHSCT MRD to predict better outcomes was <  $10^{-4}$ . Our study found that pre-AHSCT MRD at level  $\geq 10^{-3}$  was associated with a high risk of relapse with a trend to statistical significance (0.06).

MFC using 4-6 colors was used in our study because it is centralized in the same laboratory, applicable in > 95% of ALL. More sensitive MFC ( $\geq$  8 colors) needs standardization and significant expertise. More sensitive techniques like RQ-PCR and NGS are not available in our country. Despite achieving molecular remission (MRD < 10<sup>-4</sup>), relapse occurred in 20% - 30% of Ph-negative ALL, probably due to the role of other prognostic factors like genetic abnormalities and clonal evolution [17].

In our study, the TBI-based conditioning was associated with significantly greater OS (84% vs. 48%, p = 0.002), EFS (77% *vs.* 48%, *p* = 0.007), and a lower risk of CIR (16% *vs.* 46%, p = 0.006). The positive impact of TBI on outcomes is particularly evident in patients with MRD+ status for OS (82% vs. 36%, p = 0.007), EFS (73% vs. 38%, p = 0.04), and CIR (22% vs. 60%, p = 0.04). However, in MRD- patients, a trend towards lower CIR was noted in the TBI-based regimen (10% *vs.* 32%, p = 0.06). TBI was preferred to a CT-based regimen in ALL, especially in high-risk patients [18-20]. According to a recent retrospective study of European Bone Marrow Transplantation (EBMT), worse outcomes were reported after CT-based conditioning for patients with ALL in CR2 [21]. The choice of conditioning regimen could be guided by MRD status prior to AHSCT. According to Cahu X, et al. in a retrospective study, MRD- before AHSCT by NGS technique resulted in a lower risk of relapse irrespective of conditioning, suggesting that TBI may be reserved for patients with positive MRD [22]. A recent international randomized FORUM trial in high-risk pediatric ALL patients confirmed the advantage of TBI in terms of CIR, EFS, OS, and NRM. However, MRD at a level of 10<sup>-3</sup> assessed by MFC or PCR did not significantly impact outcomes, probably because most of the patients had an undetectable or very low level of MRD at AHSCT [23].

Our findings should be interpreted with caution because of the observational-retrospective nature of the research, which introduces the possibility of statistical bias. The relatively low number of patients, the large study period with the use of 4 then 6 color MFC with limited sensitivity, the absence of molecular analysis, and the unavailability of MRD status after AHSCT. On the other hand, it has some strong points related to the homogeneity of our population including pediatrics and young adults with high-risk Ph-negative ALL receiving myeloablative conditioning regimen, matching sibling donors, and the centralization of the MRD analysis. However, despite these limitations, our study showed a higher CIR among patients with MRD levels prior to AHSCT  $\geq 10^{-3}$  by MFC and suggests the indication of immunotherapy prior to and/or earlier after AHSCT.

We didn't find a significant impact of MRD at the threshold of 10<sup>-4</sup> (data not shown) this may be explained by the low number of bone marrow cells prior to AHSCT, as well as the low sensitivity of 4 colors panel. Association of LAIP-based and different from normal (DFN) approach and a better combination of markers included in Euroflow  $\geq$  8 colors standardized panels are actually investigated to improve and perform the applicability and sensitivity of MRD assessment in our country.

Current standard recommendations advise giving Blinatumomab a CD3/CD19-directed bispecific T-cell engager molecule before AHSCT to high-risk patients with MRD [24]. With a long-term follow-up, the BLAST trial confirms the potentially curative treatment by Blinatumomab in adults with B-ALL with MRD  $\geq 10^{-3}$  level, consolidated with or without AHSCT [25]. Other immunologic approaches including rapidly withdrawn immune suppression and donor Lymphocyte Infusion after AHSCT, have limited efficacy and a higher risk of GVHD [26,27]. The role of CAR-T cells as a bridge to AHSCT remains controversial. Further new treatment approaches are urgently needed for T-ALL.

### Conclusion

MRD level  $\geq 10^{-3}$  prior AHSCT was associated with lower OS and tends towards higher CIR and guides TBI-based conditioning to improve outcomes. The use of immunotherapy, highly effective in MRD settings including Blinatumomab may provide better survival and lower relapse.

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